PTO/SB/01 (06-03)

## Approved for use through 07/31/2003. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. E PADEMEN Attorney Docket Number S005 100094 **DECLARATION FOR UTILITY OR** First Named Inventor Michaels, Robert C. **DESIGN** COMPLETE IF KNOWN PATENT APPLICATION (37 CFR 1.63) **Application Number** 10/665,781 Filing Date 09/19/2003 Declaration Declaration Submitted after Initial Submitted OR Art Unit 3743 Filing (surcharge (37 CFR 1.16 (e)) With Initial Filing **Examiner Name** To be assigned required)

| I hereby declare that:  |                  |                        |             |                 |               |               |                   |
|---|------------------|------------------------|-------------|-----------------|---------------|---------------|-------------------|
| Each inventor's residence, mailing address, and citizenship are as stated below next to their name.   |                  |                        |             |                 |               |               |                   |
| I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:   |                  |                        |             |                 |               |               |                   |
| PERSONAL AIR PUR  | FIER             |                        |             |                 |               |               | :                 |
|   |                  |                        |             |                 |               |               |                   |
|   |                  |                        |             |                 |               |               |                   |
|   |                  | (Title of the I        | nvention)   |                 |               |               |                   |
| the specification of which  |                  |                        |             |                 |               |               |                   |
| is attached hereto  |                  |                        |             |                 |               |               |                   |
| OR  |                  |                        |             |                 |               |               |                   |
| was filed on (MM/DD/Y   | m [              | 09/19/2003             | as Unit     | ed States An    | polication Nu | ımber or P(   | CT International  |
| was med on (minister)   |                  | ·                      | 40 01110    | , country 500   | p             |               |                   |
| Application Number  |                  | and was amended        | on (MM/C    | DAYYYY)         |               |               | (if applicable).  |
| I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as   |                  |                        |             |                 |               |               |                   |
| amended by any amendment specifically referred to above.  |                  |                        |             |                 |               |               |                   |
| I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for  |                  |                        |             |                 |               |               |                   |
| continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.  |                  |                        |             |                 |               |               |                   |
| I hereby claim foreign priority   | benefits unde    | r 35 U.S.C. 119(a)-    | (d) or (f), | or 365(b) o     | f any foreig  | n application | on(s) for patent, |
| inventor's or plant breeder's ri  | ghts certificate | (s), or 365(a) of any  | PCT inte    | mational app    | olication whi | ich designa   | ited at least one |
| country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date |                  |                        |             |                 |               |               |                   |
| before that of the application on which priority is claimed.  |                  |                        |             |                 |               |               |                   |
| Prior Foreign Application   | Country          | Foreign Filing         |             | Prio<br>Not Cla |               |               | Copy Attached?    |
| Number(s)   | Country          | (MM/DD/YYY             | *)          | Not Cia         |               | Yes           | No.               |
|   |                  |                        |             | ᆫ               | _             |               | 片                 |
|   |                  |                        |             |                 | ╛             |               | 빌                 |
|   |                  |                        |             |                 |               |               |                   |
|   |                  |                        |             |                 |               |               |                   |
| Additional foreign applicat   | ion numbers a    | re listed on a supplei | mental pri  | ority data she  | et PTO/SB     | /02B attach   | ned hereto.       |

[Page 1 of 2]

[Page 1 or 2]
This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## **DECLARATION** — Utility or Design Patent Application

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| I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. |  |       |              |                                      |               |                        |  |
| NAME OF SOLE OR FIRST IN  | VENTOR:                                | Ap    | etition has  | been filed for                       | rthis unsigi  | ned inventor           |  |
| Given Name<br>(first and middle [if any])<br>Robert C.  |  |       |              | Family Nam<br>or Surname<br>Michaels |               |                        |  |
| Inventor's Signature Qulart   | leadum. D                              | ٥     |              |                                      |               | Date 12/19/03          |  |
| Residence: City   | State                                  |       | Country      | · · · · · ·                          | Citize        | nship                  |  |
| Santa Barbara   | California                             |       | USA          |                                      | USA           |                        |  |
| Mailing Address 5089 Del Monaco Drive   |  |       |              |                                      |               |                        |  |
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| NAME OF SECOND INVENTOR:  A petition has been filed for this unsigned inventor  |  |       |              |                                      |               |                        |  |
| Given Name<br>(first and middle [if any])   |  |       |              | Family Name<br>or Surname            | 9             |                        |  |
| Inventor's<br>Signature   |  |       |              |                                      |               | Date                   |  |
| Residence: City   | State                                  |       | Country      |                                      | Citize        | Citizenship            |  |
| Mailing Address   |  |       |              |                                      |               |                        |  |
| City  | State                                  |       | ZIF          | · ·                                  | Coun          | ту                     |  |
| Additional inventors or a legal re  | presentative are being named o         | n the | supplemental | sheet(s) PTO/SE                      | 1/02A or 02LR | attached hereto.       |  |



## In the United States Patent and Trademark Office

Application No.: 10/665,781

Title: PERSONAL AIR PURIFIER

Applicant: Michaels, Robert C.

Attorney Docket No.: S005 100094

Filing Date: 09/19/2003

Examiner: To be assigned

Art Unit: 3743

## Statement Under 37 CFR 3.73(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Commissioner,

Santa Barbara Medco, Inc., a corporation of the State of California, certifies that it is the assignee of the entire right, title and interest in the above identified application by virtue of the assignment from the inventor of the patent application. The assignment was filed for recordation concurrently herewith.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Assignee:

Santa Barbara Medco, Inc.

By: 4

Richard Ambrose, President

Certification under 37 C.F.R. §1.8 This correspondence is being deposited in United States First Class Mail addressed to Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on Date:

 $\mathbf{R}\mathbf{v}$ 

Felix L. Fischer, Registration No. 31,614

ase type a plus sign (+) inside this box -PTO/SB/81 (02-01)
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**POWER OF ATTORNEY OR AUTHORIZATION OF AGENT** 

| Application Number     | 10/665,781            |
|------------------------|-----------------------|
| Filing Date            | 09/19/2003            |
| First Named Inventor   | Michaels, Robert C.   |
| Title                  | PERSONAL AIR PURIFIER |
| Group Art Unit         | 3743                  |
| Examiner Name          | To be assigned        |
| Attorney Docket Number | S005 100094           |

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| I am the:  |                                     |  |  |  |  |
| Applicant/Inventor.  |                                     |  |  |  |  |
| X Assignee of record of the entire interest. See 37 CFR 3.71.  |                                     |  |  |  |  |
| Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).  |                                     |  |  |  |  |
| SIGNATURE of Applicant or Assignee of Record   |                                     |  |  |  |  |
| Name Richard Ambrose   |                                     |  |  |  |  |
| Signature (Shaft) Impro-   |                                     |  |  |  |  |
| Date 12-19-03  |                                     |  |  |  |  |
| NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*. |                                     |  |  |  |  |
| □ *Total offorms are submitted.  |                                     |  |  |  |  |

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